



## **NEW PRODUCT IDEA SUBMISSION**

Every year we receive many proposed ideas. We appreciate this interest in Advent Medical Technologies and want to thank each person who has taken the time and effort to get in touch with us.

In order to consider new submission ideas without creating misunderstandings, we follow the policies and practices explained in this document. We trust these policies and practices will be acceptable to you and that we may consider your suggestion in accordance with them.

If you have any questions, please don't hesitate to ask us; but you will understand that under our corporate policies, we cannot proceed with our consideration of your proposed idea submission until the conditions and practices are satisfied.

### **POLICIES AND PRACTICES CONCERNING IDEA SUBMISSIONS**

Most new product ideas, which are new and useful to us, are internally generated by our clinical and technical staff who have access to prior patents, publications, and other sources of information.

Many outside product ideas are often already known or available to us.

Advent Medical Technologies desires that all persons protect their ideas to their satisfaction before disclosing their ideas to us. The idea concepts of inventors are adequately protected only when they are covered by a patent or an application for a patent. Accordingly, in submitting an idea for consideration, it is desirable for the inventor to first obtain patent protection wherever possible. With all good faith on both sides, there is still danger of a misunderstanding when unprotected ideas are submitted.

Our policies and practices are intended to avoid misunderstandings and to provide reasonable protection for us, and we urge you to carefully consider these policies and practices before you decide if you wish to accept them for your idea submission.

We will contact you promptly subsequent to a submission by you of your idea submission. If we believe that your new product idea is new and useful and is patented or can be protected by a patent, we will be glad to discuss a possible basis for acquiring rights to use it.

A. All suggestions submitted to the Company should be sent to:

New Product Submissions

Advent Medical Technologies

PO Box 702433

Tulsa, Oklahoma 74170

B. All suggestions must be in writing and address the questions listed on Table 1.

C. Advent Medical Technologies will not consider any suggestion on the condition that prior to its disclosure an agreement be completed setting forth some basis of compensation for its evaluation and/or use.

D. No consideration will be given to any suggestion unless it is submitted subject to the TERMS OF SUBMISSION, which follows: A submitter, who wants a suggestion to be considered, must agree to

these TERMS by filling out and returning to Advent Medical Technologies the form provided on the last page of this document.

### **TERMS OF SUBMISSION**

1. Since Advent Medical Technologies does not wish to receive or hold any submitted idea submissions "in confidence," it is agreed that no confidential relationship or obligation of secrecy is to be established between the submitter and the Company with respect to the submitted idea submission and materials.
2. The submitter by this agreement does not grant any rights to Advent Medical Technologies under existing or future patents. The submitter agrees to rely for protection and as the basis for compensation, solely upon patent rights that the submitter may obtain.
3. This submission is made with neither any conditions nor expectations, expressed or implied, of any kind of payment or other compensation by the Company to the submitter arising out of the Company's evaluation and/or use of such submission.
4. Any prior negotiations or agreements are merged into and superseded by these terms, which may not be modified or waived except in writing signed by a Corporate Officer or the Company's Intellectual Property Counsel.

### **HOW TO SUBMIT YOUR IDEA**

If you wish to submit your idea submission for consideration under the TERMS OF SUBMISSION, please follow these steps.

1. Fill out and sign the form provided in this document. Make a duplicate for your records.
2. Then send the completed form to Advent Medical Technologies together with a disclosure of your idea submission. The disclosure should address the questions listed in Table 1. If the disclosure does not address these questions, it may be considered incomplete and not be reviewed.
3. The idea submission should be as complete as possible so that Advent Medical Technologies will have enough adequate information to decide whether or not it is interested. If possible, publications, published copies of the patent or patent application including claims and drawing would be helpful.
4. A copy of the idea submission should be kept by the submitter since it is necessary that we keep the submission material for reference in case a question should arise as to what was disclosed.
5. Advent Medical Technologies cannot accept any prototypes; only drawings and/or literature will be accepted. All mailings must be in flat envelopes no bigger than 11x14 inches and any packages or mailings not fitting this description may be destroyed without review.

**ACCEPTANCE OF TERMS**

**To Advent Medical Technologies**

To induce the Company to consider certain ideas which I have submitted, or propose to submit, I hereby accept the TERMS OF SUBMISSION set forth in the document 'New Idea Submission'. I agree that those terms shall apply to all disclosures made, or to be made by me, which relate to my suggestion, or which are made incidental to the submission of my suggestion. I understand that the words "the Company" as used in the TERMS include Advent Medical Technologies.

My submission and the materials submitted by me relate to the following:

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My disclosure addresses the questions listed in Table 1. Yes \_\_\_ No \_\_\_

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Name (Print)

Date

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Signature

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Address

**(Complete and retain this copy for your records)**

**TERMS OF SUBMISSION**

1. Since Advent Medical Technologies does not wish to receive or hold any submissions “in confidence,” it is agreed that no confidential relationship or obligation of secrecy is to be established between the submitter and Advent Medical Technologies with respect to the idea submissions and materials.

2. The submitter by this agreement does not grant any rights to Advent Medical Technologies under existing or future patents. The submitter agrees to rely for protection and as the basis for compensation, solely upon patent rights that the submitter may obtain.

3. This idea submission is made with neither any conditions nor expectations, expressed or implied, of any kind of payment or other compensation by Advent Medical Technologies to the submitter arising out of the Company’s evaluation and/or use of such submittal.

4. Any prior negotiations or agreements are merged into and superseded by these terms, which may not be modified or waived except in writing signed by a Company Officer or the Company’s Intellectual Property Counsel.

**ACCEPTANCE OF TERMS**

**To ADVENT MEDICAL TECHNOLOGIES**

To induce Advent Medical Technologies to consider certain ideas which I have submitted, or propose to submit, I hereby accept the TERMS OF SUBMISSION set forth in the document ‘New Product Idea Submission’ I agree that those terms shall apply to all disclosures made, or to be made by me, which relate to my submission, or which are made incidental to the submission of my idea. I understand that the words “the Company” as used in the TERMS include Advent Medical Technologies.

My submission and the materials submitted by me relate to the following:

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My disclosure addresses the questions listed in Table 1. Yes \_\_\_ No \_\_\_

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Name (Print) Date

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Signature

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Address

**(Complete and retain this copy for your records)**

### **Table 1 – Questions to Address**

To enable Advent Medical Technologies to make a more informed decision regarding your submission and to facilitate the evaluation, please answer the following questions. Submissions that do not include this information will be considered incomplete and may not be reviewed. Thank you for your help.

1. What is the title of the invention?
2. What is the field of use (Medical, Diagnostic, Wound Care, Respiratory, etc.)?
3. Where will it be used (Critical Care, ICU, Long Term Care, Home Care, other)?
4. Who will use the invention (Physician, Nurse, Lab Technician, other)?
5. What is the problem that this invention addresses?
6. How would you describe the invention?
7. What is the main function of the invention (what is it intended to do)?
8. What are the step-by-step summary instructions for use?
9. What product or products will it replace?
10. What are the significant advantages of the invention over presently available products/methods?
11. What is your estimate of manufacturing cost?
12. Have tests been conducted to confirm the advantages (none, bench, animal, clinical trials, other)? If yes, what are the conclusions?
13. What is the patent status (not applied for, applied for, granted, etc.) and are you able to provide a copy?
14. What is the status of development (idea, design, working model, production, selling, other)?
15. Has performance been demonstrated (yes, no)?
16. Are working models or prototype available for evaluation?
17. Please identify the inventor's published articles relating to the invention.
18. What is the nature of your offer to us (open, patent license, distribution, other)?
19. Is a picture, drawing or sketch available?
20. What other non-confidential information, which you consider significant to our preliminary evaluation, do you wish to provide at this time?